

On Khovanov-Rozansky cohomology

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Plan

- We construct a bigraded link cohomology theory that depends on two parameters h and a , and which categorifies the $\mathfrak{sl}(2)$ -quantum polynomial.
- This theory is the “ $\mathfrak{sl}(2)$ -equivariant” version of the Khovanov-Rozansky $\mathfrak{sl}(2)$ -link cohomology .

$\mathfrak{sl}(2)$ -quantum polynomial invariant P_2

- $\{\text{oriented links in } S^3\} \xrightarrow{P_2} \mathbb{Z}[q, q^{-1}]$
- $P_2(L_1 \cup L_2) = P_2(L_1)P_2(L_2)$
- $q^2 P_2(\text{crossing}) - q^{-2} P_2(\text{crossing}) = (q - q^{-1}) P_2(\text{cup}) P_2(\text{cap})$
- $P_2(\text{circle}) = q + q^{-1}$

Resolving crossings

- Resolve a crossing in two ways:



- n -crossing link $L \rightarrow 2^n$ resolutions Γ

- Γ : planar graph with bivalent vertices of type: or

- $\Gamma \rightarrow$ the graph polynomial $P_\Gamma(q) \in \mathbb{Z}[q, q^{-1}]$

The graph polynomial $P_\Gamma(q)$

Compute $P_\Gamma(q)$ so that it satisfies the **skein relations**:

The image displays several skein relations for the graph polynomial $P_\Gamma(q)$. Each relation is represented by an equation where a diagram on the left is equal to a diagram on the right.

- First relation:** A circle with a dot in the center is equal to $q + q^{-1}$.
- Second relation:** A crossing of two strands with a dot on the left strand is equal to $(q + q^{-1})$ times a crossing of two strands with a dot on the right strand.
- Third relation:** A crossing of two strands with a dot on the left strand is equal to a crossing of two strands with a dot on the right strand.
- Fourth relation:** A crossing of two strands with a dot on the left strand is equal to a crossing of two strands with a dot on the right strand.
- Fifth relation:** A crossing of two strands with a dot on the left strand plus a crossing of two strands with a dot on the right strand is equal to a crossing of two strands with a dot on the left strand plus a crossing of two strands with a dot on the right strand.

Computing the polynomial invariant $P_2(L)$

Compute $P_2(L)$ by:

$$\begin{array}{c} \nearrow \\ \searrow \\ \nearrow \\ \searrow \end{array} = q \left(\begin{array}{c} \nearrow \\ \nearrow \\ \vdots \\ \searrow \\ \searrow \end{array} - q^2 \begin{array}{c} \searrow \\ \searrow \\ \vdots \\ \nearrow \\ \nearrow \end{array} \right)$$

$$\begin{array}{c} \searrow \\ \searrow \\ \vdots \\ \nearrow \\ \nearrow \end{array} = q^{-1} \left(\begin{array}{c} \nearrow \\ \nearrow \\ \vdots \\ \searrow \\ \searrow \end{array} - q^{-2} \begin{array}{c} \searrow \\ \searrow \\ \vdots \\ \nearrow \\ \nearrow \end{array} \right)$$

$$P_2(L) = \sum_{\text{resolutions } \Gamma} \pm q^{\alpha(\Gamma)} P_{\Gamma}(q), \quad \text{where } \alpha(\Gamma) \in \mathbb{Z}$$

To categorify $P_2(L)$, we first categorify $P_\Gamma(q)$:

- $\Gamma \longrightarrow \overline{\mathcal{C}}(\Gamma)$: $(\mathbb{Z} \oplus \mathbb{Z}_2)$ -graded $\mathbb{Q}[a, h]$ -module
- a and h are formal variables and $\mathbb{Q}[a, h]$ is graded by:

$$\deg a = 4 \quad \text{and} \quad \deg h = 2$$

- The construction of $\overline{\mathcal{C}}(\Gamma)$: **via matrix factorization**

Matrix factorizations

Definition. A **matrix factorization** M over a commutative ring R consists of two free R -modules and two R -module maps

$$M^0 \xrightarrow{d} M^1 \xrightarrow{d} M^0$$

such that $d^2 = \omega$, where $\omega \in R$ is called **potential**.

We write $M \in MF_\omega$.

Matrix factorizations for closed graphs

If Γ is a closed graph (has no boundary points):

- $\Gamma \longrightarrow \overline{C}(\Gamma) \in MF_{\omega=0}$
- $\overline{C}(\Gamma)$ is a 2-periodic complex of graded $\mathbb{Q}[a, h]$ -modules
- Thus we can take $H(\overline{C}(\Gamma))$

Construction of $\overline{\mathcal{C}}(\Gamma)$

- e $\longrightarrow \omega(x_e) := p(a, h, x_e) \in \mathbb{Q}[a, h, x_e]$
so that $\frac{1}{3} \partial_{x_e} p = x_e^2 - hx_e - a$
so that $\deg x_e = 2, \deg \omega(x_e) = 6$

Construction of $\overline{C}(\Gamma)$

- $\underline{e} \longrightarrow \omega(x_e): = p(a, h, x_e) \in \mathbb{Q}[a, h, x_e]$
 so that $\frac{1}{3} \partial_{x_e} p = x_e^2 - hx_e - a$
 so that $\deg x_e = 2, \deg \omega(x_e) = 6$
- $1 \longrightarrow 2 \longrightarrow \overline{C}(1 \longrightarrow 2) \in MF_{\omega(x_2) - \omega(x_1)}$
 write $\omega(x_2) - \omega(x_1) = (x_2 - x_1)p_{12}$
- $\overline{C}(1 \longrightarrow 2): R \xrightarrow{p_{12}} R\{-1\} \xrightarrow{x_2 - x_1} R, R = \mathbb{Q}[a, h, x_1, x_2]$
- We call it a **short factorization**

Construction of $\overline{\mathcal{C}}(\Gamma)$

- $\overline{\mathcal{C}}(\text{circle with arrow}) = \overline{\mathcal{C}}(1 \longrightarrow 2) / (x_2 = x_1) \in MF_{\omega=0}$
- We can compute the homology of $\overline{\mathcal{C}}(\text{circle with arrow})$

Construction of $\overline{\mathcal{C}}(\Gamma)$

- $\overline{\mathcal{C}}(\bigcirc_{+1}) = \overline{\mathcal{C}}(1 \longrightarrow 2)_{/(x_2=x_1)} \in MF_{\omega=0}$
- We can compute the homology of $\overline{\mathcal{C}}(\bigcirc_{+1})$
- $H(\overline{\mathcal{C}}(\bigcirc_{+1})) = \mathbb{Q}[a, h, x]_{/(x^2-hx-a)}\{-1\}$
- $q \operatorname{rk}_{\mathbb{Q}[a, h]} H(\text{unknot}) = q + q^{-1} = P_{\bigcirc}(q)$

Construction of $\overline{C}(\Gamma)$

$$\overline{C}\left(\begin{array}{c} 1 \quad 2 \\ \nearrow \quad \searrow \\ \nwarrow \quad \nearrow \\ 4 \quad 3 \end{array}\right) := (R \xrightarrow{a_1} R\{-1\} \xrightarrow{x_1+x_2-x_3-x_4} R) \otimes \\ \otimes (R\{-1\} \xrightarrow{a_2} R \xrightarrow{x_1x_2-x_3x_4} R\{-1\})$$

for some $a_1, a_2 \in R = \mathbb{Q}[a, h, x_1, x_2, x_3, x_4]$

$$\overline{C}\left(\begin{array}{c} 1 \quad 2 \\ \nearrow \quad \searrow \\ \nwarrow \quad \nearrow \\ 4 \quad 3 \end{array}\right) \in MF_{\omega(x_1)+\omega(x_2)-\omega(x_3)-\omega(x_4)}$$

$$\omega\left(\begin{array}{c} 1 \quad 2 \\ \nearrow \quad \searrow \\ \nwarrow \quad \nearrow \\ 4 \quad 3 \end{array}\right) = \omega(x_1) + \omega(x_2) - \omega(x_3) - \omega(x_4) \\ = (x_1 + x_2 - x_3 - x_4)a_1 + (x_1x_2 - x_3x_4)a_2$$


Construction of $\overline{\mathcal{C}}(\Gamma)$

- $\overline{\mathcal{C}}(\text{figure}) : = \overline{\mathcal{C}}(\text{figure}) / (x_4=x_1, x_3=x_2) \in MF_{\omega=0}$

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$$\begin{aligned}
 H(\overline{\mathcal{C}}(\text{figure})) &= \mathbb{Q}[a, h, x_1, x_2] / (x_1+x_2-h, x_1x_2+a) \{-1\} \\
 &\cong \mathbb{Q}[a, h, x] / (x^2-hx-a) \{-1\}
 \end{aligned}$$

Construction of $\overline{C}(\Gamma)$

- closed graph $\Gamma \rightarrow \overline{C}(\Gamma)$: the tensor product over all singular resolutions of the form  and over all oriented loops
- $\omega(\overline{C}(\Gamma)) = 0$
- $\overline{C}(\Gamma)$ has homology in one degree only, and we define

$$H(\Gamma): = H(\overline{C}(\Gamma)), \quad H(\Gamma) = \bigoplus_j H^j(\Gamma)$$

Construction of $\overline{C}(\Gamma)$

Proposition

Factorizations $\overline{C}(\Gamma)$ mimic the skein relations used to define $P_\Gamma(q)$.

Theorem

The graded rank of the homology of Γ is the graph polynomial $P_\Gamma(q)$:

$$P_\Gamma(q) = \sum_j q^j \operatorname{rk}_{\mathbb{Q}[a,h]} H^j(\Gamma) = q \operatorname{rk}_{\mathbb{Q}[a,h]} H(\Gamma).$$

Homomorphisms of matrix factorizations

$$\begin{array}{ccccc}
 \overline{C}(\uparrow) \left(\begin{array}{c} \uparrow \\ \downarrow \end{array} \right) : & R & \xrightarrow{a_2 b_2} & R & \xrightarrow{c_2} & R \\
 \downarrow \alpha_{b_2} & \downarrow b_2 & & \downarrow 1 & & \downarrow b_2 \\
 \overline{C}(\downarrow) \left(\begin{array}{c} \downarrow \\ \uparrow \end{array} \right) : & R & \xrightarrow{a_2} & R & \xrightarrow{b_2 c_2} & R \\
 \downarrow \beta_{b_2} & \downarrow 1 & & \downarrow b_2 & & \downarrow 1 \\
 \overline{C}(\uparrow) \left(\begin{array}{c} \uparrow \\ \downarrow \end{array} \right) : & R & \xrightarrow{a_2 b_2} & R & \xrightarrow{c_2} & R
 \end{array}$$

A functor

Definition

Denote by $Foams$ the category whose objects are planar bivalent graphs Γ and whose morphisms are singular cobordisms (*foams*) between such graphs.

Denote by hmf_ω the homotopy category of graded matrix factorizations with potential ω .

Definition

We denote by $\overline{C}: Foams \rightarrow hmf_\omega$ the functor that associates to a graph Γ the factorization $\overline{C}(\Gamma)$ and to a foam a homomorphism of factorizations.

Categorifying the polynomial invariant $P_2(L)$

link diagram $L \longrightarrow C(L)$ complex of bigraded $\mathbb{Q}[a, h]$ -modules
 such that:

- 1 $L_1 \sim L_2$ (Reidemeister move) $\Rightarrow C(L_1) \overset{\text{homotopy}}{\cong} C(L_2)$
- 2 $\chi_q(C(L)) = P_2(L)$

Categorifying the polynomial invariant $P_2(L)$

- crossing $p \longrightarrow C_p = \text{complex of factorizations}$

- Replace $\begin{array}{c} \nearrow \\ \searrow \end{array} = q \begin{array}{c} \nearrow \\ \searrow \end{array} \left(-q^2 \begin{array}{c} \nearrow \\ \searrow \end{array} \right)$ and $\begin{array}{c} \searrow \\ \nearrow \end{array} = q^{-1} \begin{array}{c} \searrow \\ \nearrow \end{array} \left(-q^{-2} \begin{array}{c} \searrow \\ \nearrow \end{array} \right)$
 by:

$$\begin{array}{c} \nearrow \\ \searrow \end{array} = \left[0 \longrightarrow \overline{C} \left(\begin{array}{c} \nearrow \\ \searrow \end{array} \right) \{2\} \xrightarrow{\Lambda_1} \overline{C} \left(\begin{array}{c} \nearrow \\ \searrow \end{array} \right) \{1\} \longrightarrow 0 \right]$$

$$\begin{array}{c} \searrow \\ \nearrow \end{array} = \left[0 \longrightarrow \overline{C} \left(\begin{array}{c} \searrow \\ \nearrow \end{array} \right) \{-1\} \xrightarrow{\Lambda_0} \overline{C} \left(\begin{array}{c} \searrow \\ \nearrow \end{array} \right) \{-2\} \longrightarrow 0 \right]$$

- L link diagram $\longrightarrow C(L) = \otimes C_p$ over all crossings in L
- $C(L)$ is a complex of graded $\mathbb{Q}[a, h]$ -modules

Categorifying the polynomial invariant $P_2(L)$

Theorem

$C(L)$ is invariant under the Reidemeister moves, up to homotopy. Thus, the isomorphism class of $C(L)$ in the category $\text{Kom}(\text{hmf}_\omega)$ is an invariant of L .

$$\mathcal{H}(L) := \bigoplus_{i,j \in \mathbb{Z}} H^{i,j}(C(L))$$

Proposition

$$\chi(\mathcal{H}(L)) = \sum_{i,j \in \mathbb{Z}} (-1)^i q^j \text{rk}_{\mathbb{Q}[a,h]} H^{i,j}(C(L)) = P_2(L)$$

A TQFT functor?

Consider again the functor $\overline{\mathcal{C}}: \text{Foams} \rightarrow \text{hmf}_\omega$ and the ordinary homology functor \mathcal{H} . Then we have

$$\mathcal{H} \circ \overline{\mathcal{C}}: \text{Foams} \rightarrow \mathbb{Q}[a, h] - \text{Mod}$$

where $\mathbb{Q}[a, h] - \text{Mod}$ is the category of $\mathbb{Q}[a, h]$ -modules and module homomorphisms.

Theorem

The functor $\mathcal{H} \circ \overline{\mathcal{C}}$ behaves similarly to a certain $(1 + 1)$ -dimensional TQFT functor $\mathcal{F}: \text{OrCob} \rightarrow \mathbb{Q}[a, h] - \text{Mod}$.

A TQFT functor?

$\mathcal{F} \iff$ Frobenius system $(\mathbb{Q}[a, h], \mathcal{A}, \epsilon, \Delta)$

- $\mathcal{A} = \mathbb{Q}[a, h, X]/(X^2 - hX - a) = \langle 1, X \rangle_{\mathbb{Q}[a, h]}$
 $\deg 1 = -1, \deg X = 1$
- $\epsilon: \mathcal{A} \rightarrow \mathbb{Q}[a, h], \epsilon(1) = 0, \epsilon(X) = 1$
- $m: \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}, \begin{cases} m(1, X) = X & m(1, 1) = 1 \\ m(X, 1) = X & m(X, X) = hX + a \end{cases}$
- $\Delta: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}, \begin{cases} \Delta(1) = 1 \otimes X + X \otimes 1 - h1 \otimes 1 \\ \Delta(X) = X \otimes X + a1 \otimes 1 \end{cases}$

THANK YOU!