COMMITTEE ON THE PROTECTION OF HUMAN SUBJECTS
DEPARTMENTAL (UNIT) REVIEW FORM
CALIFORNIA STATE UNIVERSITY, FRESNO

Please type.

Principal Investigator _____ (Name) _____ (Dept.) _____ (Mail Stop)

_____ (Office Telephone) _____ (Secretary Telephone)

If student or collaborative research: _____ (Name) _____ (Affiliation)

_____ (Telephone) _____ (Telephone)

TITLE OF STUDY _____

If funding is sought, from what agency? _____

How did the Principal Investigator designate the research? Minimal risk _____ At risk _____

REVIEWER 1	Place your signature in the category of your judgment
Name _____ At risk _____ Minimal risk _____ COMMENTS:	☐ APPROVED _____ ☐ DISAPPROVED _____

REVIEWER 2	Place your signature in the category of your judgment
Name _____ At risk _____ Minimal risk _____ COMMENTS:	☐ APPROVED _____ ☐ DISAPPROVED _____

REVIEWER 3	Place your signature in the category of your judgment
Name _____ At risk _____ Minimal risk _____ COMMENTS:	☐ APPROVED _____ ☐ DISAPPROVED _____

The department may wish to route this form to the 3 reviewers or send each reviewer a form. If the review is done on three separate forms, the Chair ought to give each reviewer the comments of the other reviewers as well as the Principal Investigator. If all three reviewers judge the proposal as "minimal risk," the Department Chair notifies the Principal Investigator and keeps this form(s) for 5 years. If funding is sought for this study or it is "at risk", 8 copies of the protocol and this form are forwarded to the university CPHS with one additional copy to the dean's office. (See sections 3.7 and 3.8)

Application Form for

UNFUNDED RESEARCH—CSUF COMMITTEE ON THE PROTECTION OF HUMAN SUBJECTS

Please type

PRINCIPAL INVESTIGATOR _____
Name Dept. Mail Stop

_____ _____
Office Telephone Secretary Telephone

Student's name or collaborator(s) _____
(if applicable) (if a graduate school thesis, so indicate) (affiliation if collaborative research)

_____ _____
Telephone Telephone

TITLE _____

The Principal Investigator is responsible for fully understanding the ***Policy and Procedures*** of the CPHS. Below indicate your judgment as Principal Investigator as to the RISK category of the present study. (See definitions on the reverse of this sheet.) (If exempt see 3.52)

Minimal Risk _____

PROCEDURES

1. Attach your protocol and submit to your department chair for review by your human subjects committee.
2. Your departmental committee will review the protocol status and if it agrees with the determination of "minimal risk" status (see Appendix 5.3), then
3. Your department chair will keep the forms for 5 years.
4. Your responsibilities have been satisfied.

HOWEVER,

(If the department review changes the determination to "At Risk," follow the procedure to the right of this page.)

At Risk _____

PROCEDURES

1. Attach your protocol and submit to your department chair for review by your human subjects committee. (A sample informed consent must be included.)
2. Submit the department review form(s) with this form to the CPHS.
3. Transmit all reviews and the protocol (8 copies) to the CPHS for review. Send one additional copy to the Dean.
4. Allow two weeks during the school year for your response from the CPHS.

"Exempt" Research

If "exempt' see Section 3.5.2.

"Minimal Risk"

> Research IN WHICH THE RISKS OF HARM ANTICIPATED ARE NOT GREATER, CONSIDERING PROBABILITY AND MAGNITUDE, THAN THOSE ORDINARILY ENOUNTERED IN DAILY LIFE OR DURING THE PERFORMANCE OF ROUTINE PHYSICAL OR PSYCHOLOGICAL EXAMINATIONS OR TESTS.
> No research involving any item listed as being "at risk" can be determined to be minimal risk A department or other unit review committee may determine that a research proposal submitted, in the judgment of the principal investigator as "minimal risk." is actually "AT RISK"

"At Risk" Research.

> "A subject is considered to be 'at risk' if he/she is exposed to the possibility of harm- physical, psychological, sociological, or other as a consequence of any activity which goes beyond the application of those established and accepted methods necessary to meet his/her needs. The determination of when an individual is 'at risk' is a matter of the application of sound professional judgment of the circumstances of the activity in question and the ethical principles contained herein. Responsibility for this determination resides at all levels of institutional and departmental review'. (The Institutional Guide to DHEW Policy on Protection of Human Subjects, Washington, D.C., 1971, p2.)
>
> An illustrative, but not inclusive, list of "at risk" procedures would include experiments involving any aspect, degree, quality, or amount of any of the following:
>
> Deception, mental stress, including subjection to public embarrassment, humiliation, discomfort, irritation, or harassment, hypnosis, sensory deprivation, sleep deprivation, normally ingested or inhaled materials in excess or less than normal amounts, injection, ingestion or inhalation of toxic materials, including all drugs, alcohol or placebos; strenuous physical exertion; use of physical stimuli in abnormal amounts (e.g., noise, vibration, shock, heat, magnetic fields, radiation); violation of anonymity or confidentiality of subjects and data; OBSERVATIONS RECOREDED ABOUT THE INDIVIDUAL WHICH, IF THEY BECAME KNOWN OUTSIDE THE RESEARCH, COULD MAKE THE SUBJECT LIABLE TO CRIMINAL OR CIVIL ACTION OR DAMAGE THE SUBJECT'S FINANCIAL OR EMPLOYMENT STATUS; OR ABROGATION OF ANY CIVIL RIGHT

California State University, Fresno

To: Principal Investigators
Department of Psychology

From: Human Subjects Committee

Re: Informed Consent for subjects in research

There are three (3) categories of research with human subjects.

"AT RISK" RESEARCH

"MINIMAL RISK" RESEARCH

"EXEMPT" RESEARCH

There is also a special procedure for Federally funded research.

All research with human subjects must be approved at some level. Usually the Departmental Review is enough. However, different procedures are required for each category.

Please consult the Policy and Procedures document of the University Committee on the Protection of Human Subjects, available from the Psychology Department office or the Vice President's office (ext. 8-2083).

The attached form may be used for subjects in "MINIMAL RISK" research.

For information regarding protocols and informed consent where subjects are "AT RISK", please consult the Procedures document, especially Appendix 5.1 and 54.

INFORMED CONSENT

MINIMAL RISK RESEARCH

I agree to participate in the following study:

The principle investigator of this study is:

The purpose(s) of this study is/are:

I understand the procedures to be as follows:

I understand the risks of harm or discomfort from these procedures are not greater than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests.

The potential risk and discomforts, if any, may be:

The benefits to me and to others may be:

I understand that any information obtained in connection with this study