

**TABLE 1** The Probability of a Derangement.

$n$	2	3	4	5	6	7
$D_n/n!$	0.50000	0.33333	0.37500	0.36667	0.36806	0.36786

It is now simple to find  $D_n$  for a given positive integer  $n$ . For instance, using Theorem 2, it follows that

$$D_3 = 3! \left[ 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} \right] = 6 \left( 1 - 1 + \frac{1}{2} - \frac{1}{6} \right) = 2,$$

as we have previously remarked.

The solution of the problem in Example 4 can now be given.

**Solution:** The probability that no one receives the correct hat is  $D_n/n!$ . By Theorem 2, this probability is

$$\frac{D_n}{n!} = 1 - \frac{1}{1!} + \frac{1}{2!} - \cdots + (-1)^n \frac{1}{n!}.$$

The values of this probability for  $2 \leq n \leq 7$  are displayed in Table 1.

Using methods from calculus it can be shown that

$$e^{-1} = 1 - \frac{1}{1!} + \frac{1}{2!} - \cdots + (-1)^n \frac{1}{n!} + \cdots \approx 0.368.$$

Because this is an alternating series with terms tending to zero, it follows that as  $n$  grows without bound, the probability that no one receives the correct hat converges to  $e^{-1} \approx 0.368$ . In fact, this probability can be shown to be within  $1/(n+1)!$  of  $e^{-1}$ . ◀

## Exercises

- Suppose that in a bushel of 100 apples there are 20 that have worms in them and 15 that have bruises. Only those apples with neither worms nor bruises can be sold. If there are 10 bruised apples that have worms in them, how many of the 100 apples can be sold?
- Of 1000 applicants for a mountain-climbing trip in the Himalayas, 450 get altitude sickness, 622 are not in good enough shape, and 30 have allergies. An applicant qualifies if and only if this applicant does not get altitude sickness, is in good shape, and does not have allergies. If there are 111 applicants who get altitude sickness and are not in good enough shape, 14 who get altitude sickness and have allergies, 18 who are not in good enough shape and have allergies, and 9 who get altitude sickness, are not in good enough shape, and have allergies, how many applicants qualify?
- How many solutions does the equation  $x_1 + x_2 + x_3 = 13$  have where  $x_1, x_2,$  and  $x_3$  are nonnegative integers less than 6?
- Find the number of solutions of the equation  $x_1 + x_2 + x_3 + x_4 = 17$ , where  $x_i, i = 1, 2, 3, 4,$  are nonnegative integers such that  $x_1 \leq 3, x_2 \leq 4, x_3 \leq 5,$  and  $x_4 \leq 8$ .
- Find the number of primes less than 200 using the principle of inclusion-exclusion.
- An integer is called **squarefree** if it is not divisible by the square of a positive integer greater than 1. Find the number of squarefree positive integers less than 100.
- How many positive integers less than 10,000 are not the second or higher power of an integer?
- How many onto functions are there from a set with seven elements to one with five elements?
- How many ways are there to distribute six different toys to three different children such that each child gets at least one toy?
- In how many ways can eight distinct balls be distributed into three distinct urns if each urn must contain at least one ball?

11. In how many ways can seven different jobs be assigned to four different employees so that each employee is assigned at least one job and the most difficult job is assigned to the best employee?
12. List all the derangements of  $\{1, 2, 3, 4\}$ .
13. How many derangements are there of a set with seven elements?
14. What is the probability that none of 10 people receives the correct hat if a hatcher person hands their hats back randomly?
15. A machine that inserts letters into envelopes goes haywire and inserts letters randomly into envelopes. What is the probability that in a group of 100 letters
- no letter is put into the correct envelope?
  - exactly one letter is put into the correct envelope?
  - exactly 98 letters are put into the correct envelopes?
  - exactly 99 letters are put into the correct envelopes?
  - all letters are put into the correct envelopes?
16. A group of  $n$  students is assigned seats for each of two classes in the same classroom. How many ways can these seats be assigned if no student is assigned the same seat for both classes?
- \*17. How many ways can the digits 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 be arranged so that no even digit is in its original position?
- \*18. Use a combinatorial argument to show that the sequence  $\{D_n\}$ , where  $D_n$  denotes the number of derangements of  $n$  objects, satisfies the recurrence relation

$$D_n = (n - 1)(D_{n-1} + D_{n-2})$$

for  $n \geq 2$ . [Hint: Note that there are  $n - 1$  choices for the first element  $k$  of a derangement. Consider separately the derangements that start with  $k$  that do and do not have 1 in the  $k$ th position.]

- \*19. Use Exercise 18 to show that

$$D_n = nD_{n-1} + (-1)^n$$

for  $n \geq 1$ .

20. Use Exercise 19 to find an explicit formula for  $D_n$ .
21. For which positive integers  $n$  is  $D_n$ , the number of derangements of  $n$  objects, even?
22. Suppose that  $p$  and  $q$  are distinct primes. Use the principle of inclusion-exclusion to find  $\phi(pq)$ , the number of positive integers not exceeding  $pq$  that are relatively prime to  $pq$ .
- \*23. Use the principle of inclusion-exclusion to derive a formula for  $\phi(n)$  when the prime factorization of  $n$  is

$$n = p_1^{a_1} p_2^{a_2} \cdots p_m^{a_m}.$$

- \*24. Show that if  $n$  is a positive integer, then

$$n! = C(n, 0)D_n + C(n, 1)D_{n-1} + \cdots + C(n, n-1)D_1 + C(n, n)D_0,$$

where  $D_k$  is the number of derangements of  $k$  objects.

25. How many derangements of  $\{1, 2, 3, 4, 5, 6\}$  begin with the integers 1, 2, and 3, in some order?
26. How many derangements of  $\{1, 2, 3, 4, 5, 6\}$  end with the integers 1, 2, and 3, in some order?
27. Prove Theorem 1.

## Key Terms and Results

### TERMS

**recurrence relation:** a formula expressing terms of a sequence, except for some initial terms, as a function of one or more previous terms of the sequence

**initial conditions for a recurrence relation:** the values of the terms of a sequence satisfying the recurrence relation before this relation takes effect

**dynamic programming:** an algorithmic paradigm that finds the solution to an optimization problem by recursively breaking down the problem into overlapping subproblems and combining their solutions with the help of a recurrence relation

**linear homogeneous recurrence relation with constant coefficients:** a recurrence relation that expresses the terms of a sequence, except initial terms, as a linear combination of previous terms

**characteristic roots of a linear homogeneous recurrence relation with constant coefficients:** the roots of the polynomial associated with a linear homogeneous recurrence relation with constant coefficients

**linear nonhomogeneous recurrence relation with constant coefficients:** a recurrence relation that expresses the terms of a sequence, except for initial terms, as a linear combination of previous terms plus a function that is not identically zero that depends only on the index

**divide-and-conquer algorithm:** an algorithm that solves a problem recursively by splitting it into a fixed number of smaller non-overlapping subproblems of the same type

**generating function of a sequence:** the formal series that has the  $n$ th term of the sequence as the coefficient of  $x^n$

**sieve of Eratosthenes:** a procedure for finding the primes less than a specified positive integer

**derangement:** a permutation of objects such that no object is in its original place

### RESULTS

**the formula for the number of elements in the union of two finite sets:**

$$|A \cup B| = |A| + |B| - |A \cap B|$$